



UW- Madison Police Department

Policy: 35.1

SUBJECT: PERFORMANCE EVALUATIONS

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POLICY:

The UW-Madison Police Department recognizes that effective job performance from all employees is essential to achieving its goals and objectives. In order to monitor and measure job performance, the Department shall employ fair and reliable performance evaluation methods.

DEFINITIONS:

“Evaluation” refers to determining the value and measure of an employee’s work performance.

“Performance” refers to actions taken or omitted with regard to specific tasks, assignments, or evaluation criteria.

“Rater” refers to the supervisor who evaluates the performance of a subordinate employee.

PROCEDURE:

35.1.1 PERFORMANCE EVALUATION SYSTEM

- A. Measurement definitions shall be clearly defined on prescribed rating forms. Key measurement terms and concepts should be addressed during supervisory training sessions.
- B. The Department shall utilize internally developed evaluation forms. Such forms shall be completed in a timely manner, forwarded to appropriate personnel, and stored in secure files.
- C. Raters shall be responsible for evaluating employee performance in an impartial and accurate manner; completing forms in a timely fashion; counseling employees regarding performance expectations, goals, and career development; determining training needs; eliciting input; obtaining employee and supervisory signatures; providing report copies to employees; and forwarding reports for appropriate filing.
- D. Rater training shall be provided during supervisory training sessions and regularly scheduled supervisory meetings. Such training should include a review of the following: applicable Department and University directives, interview techniques, measurement definitions, form usage, rater responsibilities, confidentiality concerns, and career development opportunities.

35.1.2 ANNUAL PERFORMANCE EVALUATIONS REQUIRED

- A. A performance evaluation of each employee, with the exception of the Chief of Police, shall be completed and documented at least annually.
- B. Employees should be rated by their immediate supervisors. Annual evaluations shall be based upon the fiscal year.

35.1.3 PERFORMANCE EVALUATION OF ENTRY-LEVEL PROBATIONARY EMPLOYEES

- A. During employment with the UW-Madison, performance on the job shall be reviewed and evaluated on a regular basis. Each supervisor is required by State Statute to conduct at minimum an annual performance evaluation for each employee.
- B. Probationary sworn officers shall be rated by supervisory and line personnel in conjunction with established Department field training program procedures.

35.1.4 PERFORMANCE EVALUATION CRITERIA

- A. Criteria used for performance evaluation shall be specific to the assignment of the employee during the rating period. Tasks of the position, as set forth in the job description, should form the basis for the evaluation. Criteria used to define the quality of work should be descriptive and measurable and provide a characterization of how the work was performed.
- B. The performance evaluation report shall reflect ratings only for job performance observed during the rating period.

35.1.5 PERFORMANCE EVALUATION PROCEDURES

- A. The rating period for performance evaluations is July 1st to June 30th.
- B. Raters are to make explanatory comments when performance ratings are unsatisfactory or outstanding by providing comments on the narrative portion of the evaluation form.
- C. Each performance evaluation report must be reviewed and signed by the rater's supervisor.
- D. Each employee shall be provided the opportunity to sign and make written comments to supplement the completed performance evaluation report. The signature shall indicate only that the employee has read the report and does not imply agreement or disagreement with the contents. The supervisor shall document an employee's reluctance to sign the evaluation and indicate the reason(s) provided.
- E. A copy of the completed evaluation report shall be provided to each employee.
- F. An employee may appeal a performance evaluation through discussion with supervisory or administrative personnel. Such a process permits review of the ratings given when protested by the employee. Evaluation documents may only be revised with rater or higher authority approval.
- G. All performance evaluation reports shall become a permanent record in the employee's personnel file and be maintained in accordance with applicable state statutes, records retention schedules and department directives.

35.1.6 NOTICE OF UNSATISFACTORY PERFORMANCE

- A. All department employees shall be advised in writing whenever their performance is deemed to be unsatisfactory.
- B. Written notification of unsatisfactory performance shall be provided to employees in a timely manner.

35.1.7 EMPLOYEE GUIDANCE

- A. Each employee shall receive guidance at the conclusion of the rating period. This guidance shall include results of the performance evaluation just completed, the level of performance expected, rating criteria or goals for the new reporting period, and career counseling relative to such topics as advancement, specialization, or training appropriate for the employee's position.
- B. The immediate supervisor of each employee should provide feedback regarding employee performance. It is critical that counseling of this type include both a review of performance over the prior rating period and, as a matter of fairness to the employee, an indication of the expectations for the upcoming reporting period. However, nothing in this directive should be interpreted as requiring that these items be completed in one single session by the same supervisor.

35.1.8 RATERS EVALUATED

- A. Raters shall be evaluated by their supervisors regarding the quality of ratings given employees. Such ratings shall be documented through established performance evaluation instruments.
- B. Supervisors should evaluate raters regarding the following: fairness and impartiality of ratings given; uniform application of ratings; participation in counseling rated employees; and ability to implement or apply their respective role in the performance evaluation system.

35.1.9 PERSONNEL EARLY WARNING SYSTEM (PEWS)

The following describes the Department early intervention system:

- A. The Department has a responsibility to identify and provide assistance to employees whose conduct or behavior adversely affects work performance. The Department shall maintain an Early Intervention System to assist in identifying employees whose conduct or behaviors require intervention.
- B. If a supervisor is made aware of any of the following behaviors, it is the responsibility of the supervisor to forward the information to the involved employee's immediate supervisor, second level supervisor, and Professional Standards Lieutenant. The supervisor shall advise the Professional Standards Lieutenant the details of the incident in writing before the end of their shift. Such written notification can be by email so long as the necessary information is contained in the email in written form. Behaviors 1 – 5 listed below also require an entry into the Records Management System (RMS) Internal Affairs (IA) module. The RMS form shall be filled out by the Professional Standards Lieutenant or designee.
 - 1. Employee injury reports
 - 2. Preventable Department vehicle crashes
 - 3. Significant or repeated policy violations;
 - 4. Founded or repetitive internal or external complaint investigations;
 - 5. Use of force incidents wherein the administrative review recommends further review by the chain of command beyond basic coaching;
 - 6. Unexcused absences (the employee's direct supervisor must review the employee's timesheet prior to or after submittal and be perceptive of patterns);
 - 7. Substandard annual or probationary performance reports.
- C. The RMS IA module is set to "flag" any employee that receives more than three early warning reports, as listed in 1 – 5 above, within 12 months. If a supervisor is completing an IA module form and a warning "flag" is received, the supervisor filling out the form shall provide the "flag" information to the employee's direct supervisor and second line supervisor for further review.
- D. When a supervisor learns of a circumstance or behavior that he or she believes requires intervention, it is the responsibility of the supervisor to notify a manager in his or her chain of command. The manager shall facilitate the Department intervention effort by notifying the work unit's chain of command and the Professional Standards Lieutenant.
- E. When conduct or behavior results in a decision by the manager and supervisor to intervene, an intervention strategy must be formulated and documented per appropriate policy (discipline, performance action plan, etc.) One or more of the following strategies shall be utilized:
 - 1. Coaching or Counseling;
 - 2. Weekly performance reviews with the immediate supervisor.
 - 3. Remedial action, from retraining to discipline. (Refer to training or discipline-related directives as appropriate for details);
 - 4. Performance action plans;
 - 5. Participation in the Employee Assistance Program;
 - 6. Medical or family medical leave;
 - 7. Fitness for duty evaluation.
- F. Once the early intervention system has been initiated, a review of the identified employees shall be submitted to the Chief or Designee for approval.

- G. After each review or investigation, the investigating manager shall forward a summary of the incident and all formal documents related to the investigation to the Professional Standards Lieutenant for use in the annual evaluation of the department's Personnel Early Warning System.
- H. The Professional Standards Lieutenant or designee shall conduct a documented evaluation of the Department Personnel Early Warning System and forward it to the Chief of Police annually.